



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/814,511	03/22/2001	Thompson	10961404-1

## CONFIRMATION NO. 4395

22862  
 GLENN PATENT GROUP  
 3475 EDISON WAY  
 SUITE L  
 MENLO PARK, CA 94025

## FORMALITIES LETTER



'OC0000000006020434'

Date Mailed: 04/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/11/2001 BNNGUYEN1 00000073 071445 09814511

01 FC:101	710.00 CH
02 FC:105	130.00 CH



Glenn Patent Group  
3475 Edison Way, Suite L  
Menlo Park, CA 94025

Tel 650 474 8400  
Fax 650 474 8401  
glenn-law.com

G|P|G

March 23, 2001  
Via FedEx

Dz-Ching Ju  
1078 Robbia Drive  
Sunnyvale, CA 94087

Re: HP Case Reference No. 10961404-1  
Entitled METHOD AND APPARATUS FOR ORDERED PREDICATE PHI IN  
STATIC SINGLE ASSIGNMENT FORM

Dear Dz-Ching:

Per Tuan Ngo's request, enclosed is a copy of the above-referenced patent application, as well as the declaration and assignment to be executed. Please sign the declaration and assignment (notarized please) in blue ink and return the originals to our office, in the pre-paid FedEx envelope, for filing with the Patent Office.

Please let me know if you have any questions.

Very truly yours,

  
Jessica Pallach  
Patent Administrator

/jlp

Enclosures

06-07-01

J Seedorf

JJ3

Express Mail mailing label no. EL556469822US

Date of Deposit: June 5, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231.

  
Jessica Pallach



**PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of )  
Thompson et al. )  
Serial No. 09/814,511 )  
Filed: March 22, 2001 )  
For: Method and Apparatus for Ordered Predicate )  
Phi in Static Single Assignment Form )

Examiner: Unassigned

Art Unit: Unassigned

June 5, 2001

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner of Patents  
and Trademarks  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application--Filing Date Granted mailed April 30, 2001, enclosed herewith are the following:

- Original Signed Declaration by 3 inventor's;
- 3 Assignments and recordation cover sheet;
- Petition for Unavailability of Inventor;
- Copy of letter sent to inventor 3/23/01 with attachments;
- Copy of returned FedEx label;
- Authorization to charge the filing fee to deposit account 07-1445;
- Copy of Missing Parts Notice; and
- Return Postcard

The Commissioner is authorized to charge the filing fee, surcharge, recordation fees, and Petition fees of \$1,090.00 and any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. 10961404-1). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,



Kirk D. Wong  
Reg. No. 43,284

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